

Soil Moisture Active Passive (SMAP) Mission

SMAP Handbook

"A rare characteristic of the SMAP Project is its emphasis on serving both basic Earth System science as well as applications in operational and practice-oriented communities."

[Download Handbook](https://earthdata.nasa.gov/s3fs-public/2024-10/ASF_SAR_Soil-Moisture-Active%20Passive-SMAP-Mission_00140_smap_handbook.pdf)

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SMAP Handbook Excerpts on ASF's Roles

The Alaska Satellite Facility (ASF) is one of four ground stations that support the SMAP mission and one of two NASA DAACs that distribute SMAP data. The SMAP baseline science data products will be generated within the project's Science Data System and made available publicly through the two NASAdesignated Earth-science data centers. The ASF Synthetic Aperture Radar (SAR) Distributed Active Archive Center (DAAC) will provide Level 1 radar products, and the National Snow and Ice Data Center [\(NSIDC\)](https://nsidc.org/data/smap) DAAC will provide all other products. The excerpts below from the SMAP Handbook focus on ASF's roles. [Read more about ASF](https://asf.alaska.edu/asf/about-asf/)

Ground Data System (GDS)

The primary path for commanding the SMAP observatory and returning science and engineering data is through three northern-hemisphere tracking stations and one southern-hemisphere station in Antarctica. Data return at the northern-hemisphere stations is via 11.3-m antennas located at Wallops, Virginia (WGS), Fairbanks, Alaska (ASF), and Svalbard Island, Norway (SGS). Data return at the southern-hemisphere station is via the 10-m antenna at McMurdo Station, Antarctica (MGS). The table below gives characteristics of the four stations and average contact statistics from the science orbit. Because SMAP is in a near-polar orbit, the higher latitude stations have more frequent contact opportunities.

ASF DAAC Support of NASA Missions

The ASF DAAC provides support for NASA and NASA-partner missions assigned to it by the Earth Science Data and Information System [\(ESDIS\)](https://earthdata.nasa.gov/esdis) Project. The ASF DAAC has extensive experience managing diverse airborne and spaceborne mission data, working with various file formats, and assisting user communities to further the use of SAR data.

These efforts are facilitated, in part, by ASF Scientists and Data Managers, who interact with mission teams, provide subject matter expertise, inform data and metadata formats, evaluate data structure and quality, and address data support needs. A key project component at ASF is the core product team, which provides integration of new datasets into the ASF data system and ensures efficient coordination

and support of each mission. The team members have mission-specific expertise and consist of the following personnel:

- The Project Manager is the team leader who oversees mission activities at ASF and coordinates with external groups.
- The Product Owner is a primary product stakeholder and oversees ingest, archive, documentation, and distribution of data products as well as managing interactions with mission and ASF scientists and other stakeholders.
- The User Services Representative [\(uso@asf.alaska.edu\)](mailto:uso@asf.alaska.%20edu) supports data users with products and software tools and communicates user feedback or suggestions for improvement to the Project Manager and Product Owner.
- Software Engineers design, develop, and maintain software for the acquisition, processing, archiving, and distribution of satellite and aerial remote sensing data.
- Software Quality Assurance Technicians provide software and web-based-application testing prior to delivery to the production data system to ensure integrity, quality, and overall proper functionality through testing methods to uncover program defects, which in turn are reported to software engineers.
- The Technical Science Writer composes and edits a variety of ASF materials, from newsletter articles to technical documentation.

The core product team's responsibilities for data management include:

- Ingesting, cataloging, archiving, and distributing data
- Providing guidance on file formats and integration of new file formats into the ASF data system
- Describing data products and producing user manuals and guide documents
- Creating metadata and exporting it to [CMR](https://earthdata.nasa.gov/echo) and [GCMD](https://earthdata.nasa.gov/earth-observation-data/find-data/gcmd) (Global Change Master Directory)
- **•** Ensuring accurate metrics are reported to \underline{PMS} (ESDIS Metrics System)
- Designing, developing, and deploying specialized data portals that allow online access to data products and information
- Creating software tools for data interpretation and analysis
- Assisting users with the selection and usage of data

ASF also supports NASA and partner missions through the operation of a ground station with two 11-m antennas, providing complete services, including data downlinking, commanding, and range/Doppler tracking. ASF is part of the NASA Near Earth Network [\(NEN\)](https://esc.gsfc.nasa.gov/news/Near-Space_Communications_Reimagined) supporting a variety of low-Earth-orbit spacecraft.

ASF DAAC Data Systems

The ASF DAAC operates a custom data system designed, implemented, and supported by DAAC personnel. During its evolution, the ASF data system has moved from using primarily custom software on capital equipment to commodity hardware and commercial off-the-shelf (COTS) software and hardware solutions. This has greatly lowered development and maintenance costs for the data system,

while simultaneously providing a higher level of performance. The ASF DAAC data system provides the following capabilities:

Data Ingest

- Automated data ingest occurs from the ASF ground station as well as external data providers in a variety of media and formats.
- Ingested data are pre-processed when necessary, providing browse or derivative products.

Data Archive

- The central ASF data system archive is provided by a Data Direct Networks gridscaler storage system.
- This system provides direct access to over 1 PB of processed data as well as the capability for automated backups to an offsite location.
- Raw data are held in a robotic silo for access by the processing system. ASF maintains a backup in an external location in case of silo failure.

Data Distribution

- ASF provides direct http access to DAAC data products and utilizes NASA's User Registration System (URS) for user authentication.
- NASA data are provided to public users with no restrictions. Partner data are provided to NASAapproved users through URS for authentication and ASF's internal database for access control.
- The data system provides web-based access to the archive through Vertex. Vertex supports the data pool with direct download of processed data.
- Through custom portals and applications, the DAAC provides additional services such as mosaic subsetting, mosaicking, and time-series analysis.

Data Support

- ASF DAAC exports relevant metadata to NASA's ECHO system.
- ASF DAAC exports ingest, archive, and download metrics to NASA's EMS system.
- ASF DAAC assists users with data discovery and usage, maintains product documentation and use guides, and supports feedback between the ASF user community and the core product teams.

SMAP at ASF DAAC

ASF provides a variety of services, software tools, and user support to address the needs of the SMAP user community. The ASF core project team will leverage on-going collaborations with the SMAP Project to identify and prioritize SMAP user community needs, which in turn will inform development and implementation of data support and value-adding services for the mission. The SMAP website at

[ASF](https://asf.alaska.edu/sar-data-sets/soil-moisture-active-passive-smap-mission/) will serve as an interactive data portal, providing users with relevant documentation, custom tools and services, and ancillary data and resources.

Post-Launch SMAP Data

ASF will ingest, distribute, archive, and support postlaunch Level 1 radar products for the SMAP mission. ASF will receive the Level 1 radar products from the SMAP Science Data System at the Jet Propulsion Laboratory (JPL) in Pasadena, California.

Non-SMAP Data of Interest to SMAP

ASF will cross-link from the SMAP website to data collections that complement SMAP data and are of interest to the user community. Some of these collections are distributed by ASF, including the following:

- Airborne Microwave Observatory of Subcanopy and Subsurface (AirMOSS) data products
- Jet Propulsion Laboratory Uninhabited Aerial Vehicle SAR [\(UAVSAR\)](https://asf.alaska.edu/sar-data-sets/uavsar/) data products
- Making Earth System Data Records for Use in Research Environments Inundated Wetlands [\(MEaSUREs\)](https://asf.alaska.edu/sar-information/wetlands-measures-data-overview-attribution/) data products
- Advanced Land Observing Satellite-Phased Array L-band SAR [\(ALOS PALSAR\)](https://asf.alaska.edu/sar-information/alos-palsar-about/)
- Japanese Earth Resources Satellite-1 [\(JERS-1\)](https://asf.alaska.edu/sar-data-sets/jers-1/) image data and mosaics

Acronyms

SMAP – Science Overview, Requirements, and Measurements

SMAP's spaceborne Earth-observation mission will enable global mapping of soil-moisture and freezethaw state with unprecedented accuracy, resolution, and coverage. SMAP science objectives are to acquire space-based, hydrosphere-state measurements over a three-year period to:

- Understand processes that link the terrestrial water, energy, and carbon cycles
- Estimate global water and energy fluxes at the land surface
- Quantify net carbon flux in boreal landscapes
- Enhance weather-forecast and climate-forecast skills
- Develop improved flood-prediction and drought-monitoring capabilities

Water and Energy Cycles, Weather, and Climate

Recent model simulations of the effects of greenhouse gases on climate show that current models agree quite well in predicting temperature change but disagree significantly in predicting surfacemoisture change and water-resource availability. Accurate soil-moisture information, such as data from SMAP, will improve the performance and enhance the predictive ability of numerical weather-prediction models and seasonal climate models. Soil moisture is a key control on evaporation and transpiration at the land-atmosphere boundary. Because vaporizing water requires large amounts of energy, soilmoisture control also has a significant impact on the surface energy flux. Soil-moisture variations affect the evolution of weather and climate, particularly over continental regions.

Earth's water cycle involves the transfer and storage of water in the atmosphere, on the planet's surface, underground, and by life in its many forms.

Carbon Cycle and Ecosystems

Soil moisture and its freeze-thaw state are also key determinants of the global carbon cycle. Carbon uptake and release in boreal landscapes is one of the major sources of uncertainty in assessing the carbon budget of the Earth system (the so-called missing carbon sink). The SMAP mission will quantify the nature, extent, timing, and duration of landscape seasonal freeze-thaw state transitions that are key to the estimation of terrestrial carbon sources and sinks. SMAP freeze-thaw state measurements will also contribute to understanding how ecosystems respond to and affect global environmental change, improving regional mapping and prediction of boreal-Arctic ecosystem processes.

rernmental Panel on Climate Change (IPCC) climate model projections by

Change in Soil Moisture [%] Change in Temperature [°C] 3 $\overline{2}$ ĭ $\bf{0}$ -1 -2 -3 **SE Asia** Sahel Australia N America **SE Asia** Sahel erica S Europe S Europe Models agree on direction of Models disagree on whether the temperature increase will be MORE or LESS water compared to today

Water availability is changing as a result of global climate change. SMAP data will help researchers understand how these changes affect water supply and food production. Credit: NASA JPL

The global carbon cycle is the complex interaction of different carbon-based gases taking place among Earth's atmosphere, land, and oceans.

SMAP Goals

The SMAP Project is designed to collect measurements of surface soil moisture and freeze-thaw state, together termed the hydrosphere state. Soil moisture is defined in terms of volume of water per unit volume of soil. Freeze-thaw state is defined as the phase of the water contained within the landscape including soil and vegetation. To meet the goals of science and applications users, SMAP must:

- Resolve hydrometeorological water and energy flux processes and extend weather and flood forecast skill, spatial resolution of 10 km and temporal resolution of 3 days are required.
- Resolve hydroclimatological water and energy flux processes and extend climate and drought forecast capability, spatial resolution of 40 km and temporal resolution of 3 days are required.
- Quantify net carbon flux in boreal landscapes, spatial resolution of 3 km and temporal resolution of 2 days are required. In addition, the SMAP mission will validate a space-based measurement approach that could be used for future systematic hydrosphere state monitoring missions.

SMAP News

[NASA Soil Moisture Radar Ends Operations; Mission Science Continues](https://smap.jpl.nasa.gov/news/1247/) [NASA Focused on Sentinel as Replacement for SMAP Radar](https://spacenews.com/nasa-focused-on-sentinel-as-replacement-for-smap-radar/)

Baseline Science Requirements

- Estimates of soil moisture in the top 5 cm of soil with an error of no greater than 0.04 cm3/cm3 (one sigma) at 10-km spatial resolution and 3-day average intervals over the global land area, excluding regions of snow and ice, frozen ground, mountainous topography, open water, urban areas, and vegetation with water content greater than 5 kg/m2 (averaged over the spatial resolution scale).
- Estimates of surface binary freeze-thaw state in the region north of 45N latitude, which includes the boreal forest zone, with a classification accuracy of 80% at 3-km spatial resolution and 2 day average intervals.
- Space-based measurements of soil moisture and freeze-thaw state for at least 3 years to allow seasonal and interannual variations of soil moisture and freeze-thaw to be resolved.
- The SMAP project shall conduct a calibration and validation program to verify data delivered meets the above requirements.

SMAP applied science poster. Credit: NASA/JPL.

Measurements

Approach

The SMAP mission will validate a space-based measurement approach that could be used for future systematic hydrosphere-state monitoring missions.

• To resolve hydrometeorological water and energy flux processes and extend weather- and flood-forecast skill, spatial resolution of 10 km, and temporal resolution of 3 days are required.

- To resolve hydroclimatological water and energy flux processes and extend climate- and drought-forecast capability, spatial resolution of 40 km and temporal resolution of 3 days are required.
- To quantify net carbon flux in boreal landscapes, spatial resolution of 3 km and temporal resolution of 2 days are required.

Accuracy

The science goal is to combine the attributes of the radar observations (high spatial resolution but lower soil-moisture accuracy) and radiometer observations (higher soil-moisture accuracy but coarse spatial resolution).

Joint processing of the radar and radiometer data will retrieve soil moisture at a spatial resolution of 10 km, and freeze-thaw state at a spatial resolution of 3 km.

The provision of constant incidence angle across the 1,000-km swath simplifies the data processing and enables accurate repeat-pass estimation of soil moisture and freeze-thaw.

Orbit Characteristics

The SMAP orbit is a 685-km altitude, near-polar, sun-synchronous, 6 a.m. / 6 p.m., eight-day, exactrepeat, frozen orbit.

- Near-polar orbit provides global land coverage up to high latitudes including all freeze-thaw regions of interest.
- Sun-synchrony provides observations of the surface close to the same local solar time each orbit throughout the mission, enhancing change-detection algorithms and scientific accuracy.
- Consistent 6 a.m. observation time is optimal because it minimizes the effect of Faraday rotation and impact on S/C design.
- Frozen orbit provides minimal altitude variation during an orbit, benefitting radar design and accuracy.
- 685-km altitude is an exact 8-day repeat orbit, advantageous for radar change-detection algorithms.
- Orbit provides optimum coverage of global land area at 3-day average intervals, and coverage of land region above 45N at 2-day average intervals.

SMAP spacecraft and its swath path. Credit: NASA/JPL.

SMAP antenna's scanning pattern. Credit: NASA/JPL.

Image credit: NASA/JPL.

SMAP travels in a 98-degree polar orbit.

Data Acquisition

Low-rate radiometer data and low-resolution radar data will be acquired continuously over fore and aft portions of the scan (full 360 degrees), as well as ascending and descending portions of the orbit.

High-resolution radar data will be acquired to include at a minimum:

• 360 degrees of the antenna scan (fore and aft looks) for the morning (6 a.m. equator crossing) half-orbit over the global land region (excluding the Antarctic)

- 180 degrees of the antenna scan (fore look) for the evening (6 p.m. equator crossing) half-orbit over the boreal land region (north of 45 degrees N latitude)
- 180 degrees of the antenna scan (fore look) for the morning half-orbit over the coastal ocean region (within 1,000 km of continental boundaries)
- The Science Operations Phase (SOP) begins after completion of the 90-day post-launch, in-orbit commissioning and lasts for three years. The first part of the SOP is the Calibration and Validation (Cal/Val) phase, which lasts for 12 months. Following the Cal/Val phase is the Routine Observations Phase (ROP), which lasts for 24 months.

SMAP – Instrument

Soil Moisture Passive Active (SMAP) is a remote-sensing observatory with two instruments — a synthetic aperture radar (SAR) and a radiometer — that map soil moisture and determine the freeze or thaw state of the area being mapped. Both instruments help map soil-moisture content, and unique properties of SAR enable the freeze-thaw mapping. Externally, the instruments share a common, 20-foot mesh antenna and a feed assembly. Inside the spacecraft, their electronics differ. When combined, the SMAP radar and radiometer deliver high-accuracy, high-resolution global maps of the Earth's soil moisture and freeze-thaw state.

The radar **actively** sends pulses of radio waves down to a spot on Earth and measures the echo that returns microseconds later. The strength and "shape" of the echoes can be interpreted to indicate the moisture level of the soil, even through moderate levels of vegetation. The radiometer **passively** detects radio waves emitted by the ground from the same small area. The strength of the emission indicates temperatures.

[News: NASA Soil Moisture Radar Ends Operations; Mission Science Continues](https://smap.jpl.nasa.gov/news/1247/)

[News: NASA Focused on Sentinel as Replacement for SMAP Radar](https://spacenews.com/nasa-focused-on-sentinel-as-replacement-for-smap-radar/)

[Instrument Events Timetable](https://asf.alaska.edu/wp-content/uploads/2019/03/master_iot_events_list_external.pdf) LINK

Artist's concept of SMAP observatory and its antenna-beam footprint. Credit: NASA.

Specifications Spacecraft Dimensions, bus only: 1.5 x .9 x .9 m Weight: 944 kg Power: 1,450 watts Altitude: 685 km Orbit path: Near-polar, sun-synchronous, equator crossings 6 a.m. and 6 pm. local time Orbital inclination: 98.1 degrees

Radar: L-band Frequency: 1.2 to 1.3 GHz Polarizations: VV, HH, HV (not fully polarimetric) Relative accuracy (3 km grid): 1 dB (HH and VV), 1.5 dB (HV) Data acquisition:

- High-resolution (SAR) data acquired over land
- Low-resolution data acquired globally

SMAP's antenna-beam footprint measures 1,000 km. Image credit: NASA/JPL.

Radiometer: L-band Frequency: 1.41 GHz Polarizations: H, V, 3rd and 4th Stokes Relative accuracy (30 km grid): 1.3 K Data collection:

- High-rate (sub-band) data acquired over land
- Low-rate data acquired globally

Antenna Conically scanning deployable mesh reflector shared by radar and radiometer Diameter: 6 m Rotation rate: 14.6 RPM Beam efficiency: ~90% Beam surface incidence angle: 40° Spatial Resolution:

- SAR: 1-3 km (over outer 70% of swath; 'high-resolution' radar)
- Radiometer (IFOV): 39 km x 47 km
- Real-aperture radar footprint resolution: 29 km x 35 km ('low-resolution' radar)

Swath width: 1,000 km

Radar Resolution and Gridding

The SMAP radar employs unfocused synthetic aperture radar (SAR) processing. The range and azimuth resolutions are determined by the unique antenna scan geometry.

The SAR single-look samples (time-ordered) are averaged (multi-looked) onto a swath-oriented 1-km grid to form the L1C_S0_HiRes product. The grid posting of the L1C_S0_HiRes product is fixed at 1 km, but the spatial resolution, number of looks, and signal-to-noise ratio (SNR) vary across the swath.

 400

300

This panel illustrates the radarmeasurement geometry showing the range and Doppler contours. The real aperture radar footprint ellipse is shown at two representative azimuthscan angles. The radar 1-MHz bandwidth yields a ground-range resolution of ~250 m. The doppler diversity is maximum at a scan angle perpendicular to the satellite velocity (swath edge), leading to an azimuth single-look resolution of ~450 m. The single-look resolution degrades as the scan angles approach the satellite velocity vector. Image Credit: NASA/JPL.

This schematic, which is not drawn to scale, illustrates how the single-look data samples from successive fore-look scans Image Credit: NASA/JPL. are oriented and overlap relative to the 1-km gred. Image Credit: NASA/JPL.

This panel illustrates the variation as a function of swath position (distance from center track).

Frequency and Polarizations

The L-band frequency enables observations of soil moisture through moderate vegetation cover, independent of cloud cover and night or day. Multiple polarizations enable accurate soil moisture estimates to be made with corrections for vegetation, surface roughness, Faraday rotation, and other perturbing factors.

The SMAP instrument incorporates an L-band radar (VV, HH, and HV polarizations) and an L-band radiometer (V, H, and 3rd and 4th Stokes parameter polarizations).

Spatial Resolution

To obtain high spatial resolution, the radar employs range and Doppler discrimination.

To mitigate radio-frequency interference (RFI) from ground transmitters, the radiometer employs a digital backend and sub-banding approach. The radiometer 'high-rate' mode acquires sub-band data; the radiometer 'low-rate' mode acquires data averaged over the full band only.

Radar Data

The radar high-resolution measurement samples are created within the radar real-aperture footprint by synthetic aperture processing in range and azimuth. The synthesized single-look samples have variable spatial resolution in the azimuth direction. The single-look samples are averaged (multi-looked) onto 1 km grid pixels to form the L1C_S0_HiRes data product.

The L1C_S0_HiRes HH and VV data have uncertainty from all sources (excluding rain) of 1.0 dB or less (1-sigma) defined at 3-km spatial resolution and for surfaces of radar cross-section greater than -25 dB. The HV data have uncertainty from all sources (excluding rain) of 1.5 dB or less (1-sigma) defined at 3 km spatial resolution and for surfaces of HV radar cross-section greater than -30 dB.

Radiometer Data

The radiometer instantaneous field of view (IFOV) or 3-dB footprint is 39 km x 47 km. The radiometer L1B_TB data product includes compensation for effects of antenna sidelobes (outside the main beam), cross-polarization, Faraday rotation, atmospheric effects (excluding rain), and solar, galactic, and cosmic radiation.

The L1B_TB have mean uncertainty from all sources (excluding rain) of 1.3 K or less (1-sigma) in the H and V channels, defined on the basis of binning the fore- and aft-look samples onto hypothetical, swath-oriented, 30-km x 30-km grid cells (a different grid is used for the actual L1C_TB data product).

SMAP Documents, Tools, Acronyms

Documents

Tools

Acronyms

SMAP – Publications and Credits

Publications

Please submit additional relevant publications to [uso@asf.alaska.edu,](mailto:uso@asf.alaska.edu) with "SMAP Publications" on the subject line.

Passive active L- and S-band (PALS) microwave sensor for ocean salinity and soil moisture measurements – Wilson, WJ; Yueh, SH; Dinardo, SJ; et al., IEEE TRANSACTIONS ON GEOSCIENCE AND REMOTE SENSING, 39 (5): 1039-1048 MAY 2001.

Credits

SMAP Team

Soil Moisture Active Passive (SMAP) is a directed mission within the NASA Earth Systematic Mission Program. The SMAP project is managed by the Jet Propulsion Laboratory (JPL) with participation by the Goddard Space Flight Center (GSFC).

JPL is responsible for project management, system engineering, instrument management, the radar instrument, mission operations and the ground data system, science-data processing, and delivery of science-data products to a designated archive for public distribution.

GSFC is responsible for the radiometer instrument, science-data processing, and delivery of sciencedata products to a designated archive for public distribution.

ASF and the National Snow and Ice Data Center (NSIDC) are responsible for distributing data to the public.

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Science Team

The SMAP Science Team (ST) was selected competitively by NASA in 2013 through a ROSES proposal solicitation. ST members are responsible for advising the project on science requirements, scienceproduct definition, science algorithms, calibration/validation planning and implementation, and for publishing science results and supporting education and public outreach for the project. The ST replaces the earlier Science Definition Team (SDT), whose tenure ended in 2013. The ST tenure extends through the end of the SMAP mission.

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